Form PTO-1449 (modified)	Attorney Docket No. Serial No. SVL920030004US1	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT	Applicants:  Kevin Br wn et al.	
(Use several sheets if necessary)	Filing Date:	Group Art Unit:

### **U.S. PATENT DOCUMENTS**

Examiner Initials	Document No.	Date	Name	Class / Subclass	Filing Date
CLO	2003/0056066A1	01.02.2003	Lazaridis et al.	709/206	07.29.2002
CLO	2002/0194189A1	12.19.2002	Anderson et al.	707/102	03.26.2001
CXD	2002/0138497A1	09.26.2002	Chen et al.	707/104.1	03.26.2001
CLO	2002/0107954A1	08.08.2002	Ferguson et al.	709/224	01.23.2001
CIO	6.449.715 B1	09.10.2002	Krivoshein	713/1	10.04.1999
c10	6,125,390	09.26.2000	Touboul	709/223	08.25.1997
CLO	5,926,819	07.20.1999	Doo et al.	707/104	05.30.1997
CZD	2002/0126812A1	09.12.2002	Majewski et al.	379/114.03	12.29.2000
CZD	2003/0004952A1	01.02.2003	Noxon et al.	707/10	08.16.2002
CLD	2002/0154605A1	10.24.2002	Preston et al.	370/254	04.24.2001
CLR	2002/0026605A1	02.28.2002	Теггу	714/37	04.06.2001
CLA	6.477.535 B1	11.05.2002	Mirzadeh	707/101	11.25.1998
CZD	6,336,152 B1	01.01.2002	Richman et al.	710/8	10.04.1999
CLO	6,460,135 B1	10.01.2002	Suganuma	712/221	10.04.1999

Examin r heling Maye Dat Considered 01/12/06

Examin r. Initial if r terence considered, who the ror not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

Form PTO-1449 (modified)	Attorney Docket No. Serial No. SVL920030004US1	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT	Applicants:  Kevin Brown et al.	
(Use several sheets if necessary)	Filing Date: Group Art Ur	

#### **U.S. PATENT DOCUMENTS**

Examiner Initials	L LINCUMENT NO		I I IOCUMENT NO I		Name	Class / Subclass	Filing Date
CXD	6,269,274 B1	07.31,2001	Steinman et al.	700/28	09.09.1998		

# **FOREIGN PATENT DOCUMENTS**

Examiner Initials	Document No.	Date (MM.DD.YYYY)	Country	International Class / Subclass	Translation Yes No
CLO	EP1096444A2	10.27.2000	European Patent Office	G07F 19/00	No

## **PUBLICATIONS / OTHER ART**

Examiner Initials	Citation

Examin r

Dat Consid r

01/12/06

Examin r: Initial if ref r nce considered, which have rough citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

, PE			
Form PTO-1449 (modified)	Fict.	Attorney Docket No. SVL920030004US1	Serial No. 10/618,407
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT		Applicants:  Kevin Brown t	al.
(Use several sheets if necessary)		Filing Date: 07/11/2003	Group Art Unit: 2183

### **U.S. PATENT DOCUMENTS**

Examiner Initials	Document No.	Date	Name	Class / Subclass	Filing Date
CLO	Serial No. 09/691,968		Carlos V. Pinera, et al.		10/19/2000
CXD	Serial No. 09/599,183		W. Kuo, et al.		06/22/2000
			·		
			·		
		·			·

Examiner Date Considered

Examiner: Initial if refer nce considered, wheth r or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

PTO/SB/08A (08-03) Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. THABEN

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute for form 1449/PTO

(Use as many sheets as necessary)

Complete if Known					
Application Number 10/618,407					
Filing Date	July 11, 2003				
First Named Inventor	Kevin Brown et al.				
Art Unit	2183				
Examiner Name					
Attorney Docket Number	SVL920030004US1				

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
Number-Kin	Number-Kind Code <sup>2 (I trown)</sup>			Figures Appear	
CLD	1	<sup>US-</sup> 5,680,602	10.21.1997	Bloem et al.	
	2	US-			
	3	US-			
	4	US-			
	5	US-			
	6	US-			
	7	US-			
	8	US-			
	9	US-			
	10	US-			
	11	US-			
	12	US-			
	13	US-			
	14	US-			
	15	US-			
	16	US-			
	17	US-			
	18	US-			
	19	US-			

		FOREIG	N PATENT DOCL	MENTS		
Examiner Initials*		Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	_ ™
CXB	1	PCT International Search Report	01/11/2005	IBM United Kingdom Ltd.		
						L
						<u> </u>
					1	

Examiner Signature	Phelcing L. Danse	Date Considered	01/12/06

\*EXAMINER: Initial if reference considered, whether on not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. 'Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

MAR 2 8 2005

PTO/SB/088 (08-03) Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known **Application Number** 10/618,407 INFORMATION DISCLOSURE **Filing Date** July 11, 2003 STATEMENT BY APPLICANT **First Named Inventor** Kevin Brown et al. Art Unit 2183 (Use as many sheets as necessary) **Examiner Name** Attorney Docket Number SVL920030004US1 Sheet 2 of 2

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issunumber(s), publisher, city and/or country where published.	
CKP	1 •	Stefano Ceri et al., "Practival Applications of Triggers and Constraints: Successes and Lingering Issues," Proceedings of the 26th VLDB Conference, Cairo, Egypt, 2000, pp. 254-262.	
cro	2,	"Oracle8 Replication Manual," Release 8.0, Part No. A58245-01, December, 1997.	
CPO	3 •	L. Mota-Herranz et al., "Automatic Generation of Trigger Rules for Integrity Enforcement in Relational Databases with View Definition," Springer-Verlag Berlin Heidelberg 1998.	
CLO	4 ·	Miguel Castro et al., "Secure routing for structured peer-to-peer overlay networks," USENIX Association, 5th Symposium on Operating Systems Design and Implementation, ACM SIGOPS Operating Systems Review archive, Volume 36, 2002, OSDI '02:	
1		Proceedings of the 5th Symposium on Operating systems design and implementation, pp. 299-314.	
	5		
	6		
	7		
	8		
	9		

Examiner	/1/1. 1/	Date	alista.
Signature	/ helan . Name	Considered	01/10/14

\*EXAMINER: Initial if reference considered, whether or not glation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

considered, Include copy of this torm with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English tanguage Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.